Shanghai Rural Commercial Bank Co., Ltd.
Key Points of the Suppliers Management Policy (2026 Edition)

I. Purpose

To establish trustworthy, stable, comprehensive, and long-term cooperative relationships with suppliers, and to ensure that the procurement work of Shanghai Rural Commercial Bank (hereinafter referred to as "SHRCB" or "the Bank") is carried out in a compliant and efficient manner, the Bank has formulated policies such as the *SHRCB Measures for the Management of Suppliers*, the *SHRCB Measures for the Management of Centralized Procurement*, and the *SHRCB Detailed Implementation Rules for the Management of Supplier Misconduct*, to standardize procurement workflows and supplier management requirements.

II. Scope of Application

These Measures apply to suppliers providing all types of goods, projects, and services to the Bank. The management of suppliers by institutions at all levels of the Bank shall apply these Measures.

III. Basic Principles

Supplier management adheres to the following basic principles:

1. The principle of lawfulness and compliance, which means complying with national laws, regulations, and the Bank's rules and policies.

2. The principles of openness, fairness, impartiality, honesty, and

integrity.

3. The principle of professional integrity, which means complying with provisions on professional integrity and preventing improper transactions.

IV. Management Requirements

1. Supplier Admission

The Bank's selection follows the principles of openness, fairness, impartiality, competition, and efficiency, and shall not subject suppliers to differential or discriminatory treatment based on unreasonable conditions.

The Bank conducts rigorous supplier admission reviews by embedding ESG criteria, encompassing environmental protection, resource conservation, employee rights, and compliance and integrity, while proactively identifying associated risks to drive responsible procurement practices.

Core Checkpoints for Responsible Procurement Review:

Environmental Dimension	Designates carbon neutrality certificates and the Environmental Management System Certification (ISO 14001) as critical evaluation metrics. The Bank prioritizes the procurement of electronic equipment bearing the China Compulsory Certification (CCC) and green eco-labels, thereby steering suppliers toward enhanced

	carbon emissions and environmental management.
Social Dimension	Requires suppliers to furnish documentation including records of uninterrupted social security contributions and specialized qualification certificates, thereby compelling suppliers to conscientiously fulfill their social responsibilities.
Governance Dimension	Scrutinizes suppliers' background check results on "Credit China" and verifies their status as "Non-Dishonest Judgment Debtors". Furthermore, the *Integrity Development Agreement* is mandated as a standard contract annex, cementing the foundational baseline for compliant and ethical collaboration.

Suppliers falling under any of the following circumstances shall not be admitted:

(1) Failing to provide corresponding materials required for admission review.

(2) Submitting fraudulent materials, or misreporting or concealing information to fraudulently obtain supplier admission eligibility.

(3) Offering bribes or providing other improper benefits to relevant departments and branches of the Bank, such as the General Affairs Department, the FinTech Department, or branches and sub-branches.

(4) Suppliers blacklisted by the Bank.

(5) Other circumstances in violation of the Bank's procurement policies.

2. Supplier Performance Monitoring and Evaluation

The Bank continuously conducts risk monitoring for incumbent suppliers and on-site inspections for important suppliers, implements contract performance risk control, and conducts performance evaluations centered on metrics such as supplier service quality, delivery timeliness, professional competence, and after-sales service, to ensure the continuity and stability of relevant business operations.

3. Supplier Misconduct Management

The Bank implements classified management for supplier misconduct. For suppliers with severe misconduct, the Bank includes them in the blacklist and bars them from participating in the Bank's procurement activities for three years. For suppliers with general misconduct, their eligibility to participate is suspended for 3 to 12 months, depending on the severity of the circumstances.

If a supplier is involved in any of the following circumstances during its participation in the procurement activities organized by the Bank, it shall be deemed as severe misconduct:

(1) Submitting fraudulent materials, or colluding with the Bank's procurement personnel, other suppliers, or procurement agencies to manipulate bids or secure contract awards.

(2) Adopting improper means to defame or exclude other suppliers.

(3) Offering bribes to the Bank's procurement personnel or procurement agencies, or providing other improper benefits.

(4) Withdrawing from procurement activities without authorization after responding to a public bidding project, thereby affecting the continued progress of the procurement activities.

(5) Conducting unauthorized private negotiations with the procurement requesting department during the procurement process.

(6) Filing malicious complaints that cause damage to the Bank.

The Bank has established an appeal mechanism. Suppliers may raise objections and submit detailed materials through a standardized appeal process. Relevant departments of the Bank will conduct review and processing in strict accordance with regulations to ensure that the legitimate rights and interests of suppliers are fully safeguarded.

The Bank's General Affairs Department conducts annual anti-corruption advocacy campaigns targeted at key suppliers to collaboratively maintain a fair and transparent procurement order. The Bank's Audit Department periodically conducts independent and objective audit supervision and evaluation of centralized procurement management.